

RESERVATION INFO ON REVERSE

510L 862 182

SEAT LICENSE NUMBER

# YOU ARE CORDIALLY INVITED TO CCO SPRING TRAINING

with

## The Advisor's Resource

April 22, 2010

10 am to 5 pm

Inn at the Ballpark

Houston, Texas

Astros vs. Marlins game

to follow



2010

EVENT

CCO SPRING TRAINING 2010

PRODUCTION COMPANY

THE ADVISOR'S RESOURCE

DATE

TIME

LOCATION

4/22/2010

10AM-5PM

INN AT THE BALLPARK

RESERVATION INFO ON REVERSE

# Welcome to CCO Spring Training 2010 with The Advisor's Resource!

Here's the lineup for Thursday, April 22, 2010,  
from 10 am to 5 pm.

---

## The Game Plan:

- First Inning: Roster introductions  
Second Inning: The big picture: why and how the rules are changing  
Third Inning: Decoding the new custody rule and how to apply it  
Fourth Inning: Demystifying audits and surprise exams by auditors  
Fifth Inning: New stronger privacy and marketing rules  
Sixth Inning: SEC exams: tales from the past and present  
Seventh Inning: Expected changes in the near future  
Eighth Inning: Hot buttons for regulators  
Ninth Inning: Wrap up and MVP awards
- 

## Coaches:

**Barry Greenberg**, Akin Gump Strauss Hauer & Feld, LLP  
**Linda Shirkey**, The Advisor's Resource  
**Hope Edick**, Compliance, Streettalk Advisors, LLC  
**Paul Queneau**, Rothstein Kass

---

**Astros vs Marlins** game to follow.

---

## Put me in, Coach!

Reserve your spot by Friday, April 9. Please contact Monica Blanco at [monica@theadvisorsresource.com](mailto:monica@theadvisorsresource.com) or 281.298.7015.

**Ticket Price:** \$850/player

To make hotel reservations, call the hotel directly at 713.228.1520 or 866.406.1520; mention The Advisor's Resource to receive the special rate of \$169/night.

Inn at the Ballpark	866.406.1520 toll free
1520 Texas Avenue	713.228.1520 phone
Houston, Texas 77002	713.228.1555 fax

---